

OCT 29 2003

PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Total Number of Pages in This Submission

Application Number	09/696,676
Filing Date	October 24, 2000
First Named Inventor	Alan J. Ruud et al.
Art Unit	2875
Examiner Name	Anabel Ton
Total Number of Pages in This Submission	Attorney Docket Number RU-134US

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input checked="" type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence	<input type="checkbox"/> Status Letter
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Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Richard W. White, Reg. No. 50,601 Jansson, Shupe & Munger, Ltd. 245 Main Street, Racine, WI 53403
Signature	
Date	October 28, 2003

CERTIFICATE OF TRANSMISSION/MAILING

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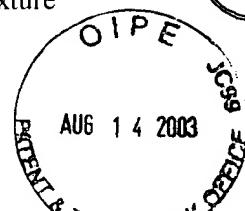
Name: TERASA JOHNSON

10/28/03
Signature Date



The Patent Office stamp placed hereon indicates receipt of:

Title: Low-Profile Overhead Industrial Light Fixture
Applicants: Alan J. Ruud
Serial No. 09/696,676
Filed: October 24, 2000
Attorney Docket No. RU-134US



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AUG 19 2003

JANSSON, SHIPE & MUNGER, LTD.

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Total Number of Pages in This Submission

12

Application Number	09/696,676
Filing Date	October 24, 2000
First Named Inventor	Alan J. Ruud
Art Unit	2875
Examiner Name	Anabel Ton
Attorney Docket Number	RU-134US

ENCLOSURES (check all that apply)		
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Remarks		
RECEIVED OCT 11 2003 U.S. PATENT AND TRADEMARK OFFICE EX-2003		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	Richard W. White Jansson, Shupe & Munger, Ltd. 245 Main Street, Racine, WI 53403	
Signature		
Date	August 12, 2003	

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Typed or printed name	Judith Maresh	Date	August 12, 2003
Signature			

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

EXHIBIT



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RICHARD W WHITE
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RACINE, WI 53403-1034

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AUG 11 2003

Serial No. : 09/696, 676
Applicant : RUUD ET AL
Filing Date : 10/24/2000

Date Mailed : 08/06/2003

JANSSON, SHUPE & MUNGER, LTD.

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Notice of Allowance Mailed

This application has been accorded an Allowance Date and is being prepared for issuance. The application, however, is incomplete for the reasons below.

Applicant is given 30 days from the mail date of this Notice within which to correct the informalities indicated below. A failure to reply will result in the application being ABANDONED. This period for reply is NOT extendable under 37 CFR 1.136 (a) or (b).

NEW FORMAL DRAWINGS ARE REQUIRED.

APPLICANT MUST SUPPLY NEEDED DRAWINGS WITHIN 30 DAYS OF THE MAIL DATE OF THIS NOTICE.

A copy of this notice MUST be returned with the reply. Please address response to "Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313"

David Irvine

Name : David Irvine
Data Query
Phone 703-305-8391
Fax 703-308-6642

REC'D
OCT 31 2003
JANSSON, SHUPE & MUNGER
2800

SEARCHED

O I P E
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O C T 2 8 2003
T R A N S M I T T A L O F F O R M A L D R A W I N G S

TRANSMITTAL OF FORMAL DRAWINGS

Docket No.
RU-134US

To Be Applied At
TRADEMARK
Re Application Of: Alan J. Ruud

Serial No.

Filing Date

Confirmation No.

Examiner

Art Unit

09/696,676

October 24, 2000

4587

Anabel Ton

2875

Invention: LOW-PROFILE OVERHEAD INDUSTRIAL LIGHT FIXTURE

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Transmitted herewith are:

9 sheets of formal drawing(s) for this application.

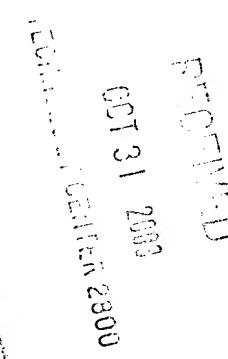
- Each sheet of drawing indicates the identifying indicia suggested in 37 CFR Section 1.84(c).

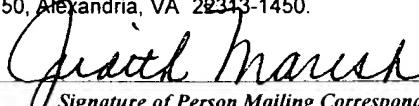


Signature

Richard W. White, Reg. No. 50,601
Jansson, Shupe & Munger, Ltd.
245 Main Street
Racine, WI 53403

Dated: August 12, 2003


I certify that this document and attached formal drawings
are being deposited on 8/12/03 with the
U.S. Postal Service as first class mail under 37 C.F.R. 1.8
and addressed to the Commissioner for Patents, P.O. Box
1450, Alexandria, VA 22313-1450.


Judith Maresh

Signature of Person Mailing Correspondence

Judith Maresh

Typed or Printed Name of Person Mailing Correspondence